A Guide to Federal and New York State Funding

How to Navigate the Process

U.S. Senator Kirsten E. Gillibrand New York



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Note: This document will be continuously updated as information becomes available.

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Introduction

Dear Fellow New Yorker,

I want to take this opportunity to provide you with some important information regarding the grants process. Each year, the federal government distributes billions of dollars in the form of grants, loans, contracts and other money to institutions throughout the United States. New York's non-profit organizations, colleges, universities, businesses and local governments are exceptionally well qualified to compete for these funds. The information in this guide is meant to provide assistance to New York institutions seeking federal funds.

Finding the resources that meet your needs in the complex web of agencies on the federal and state levels of government can be an almost impossible task. For this reason, I have created this guidebook to serve as a starting point in providing information about what resources are available to individuals, colleges and universities, businesses, community organizations and local governments. Its contents are by no means comprehensive and will be updated periodically as more details become available.

The guidebook is comprised of seven different sections providing information about funding sources, strategies for writing effective grant proposals and ways in which my office can assist you in this process.

In addition to this guidebook, my Senate website (<u>www.Gillibrand.senate.gov</u>) is continually updated with critical information about various funding opportunities that are available to you, how to access them, and where to apply. You can also request hard copies of this guidebook, and other funding guidebooks, by filling out the order form at the end of this guidebook and mailing it back to my New York City office or by emailing an electronic copy to <u>grants@gillibrand.senate.gov</u>. Furthermore, if you need assistance from a federal agency or with an immigration case, please refer to the casework form at the end of this document or visit

http://gillibrand.senate.gov/services/casework/form/. As you move forward with any grant opportunities, please contact Andrew Usyk, my Grants Director, for letters of support, when applicable. You can reach him in my Washington, D.C. Office at Grants@Gillibrand.Senate.gov, or (202)-224-4451.

Sincerely, Kirsten E. Lillibrand

> Kirsten E. Gillibrand United States Senator

Section I: How Best to Find Information

- Find out who is eligible for a grant by going to the following website:

 (http://www.grants.gov/aboutgrants/eligibility.jsp).

 Other government websites may be more suitable for personal need, including:

 (http://www.govbenefits.gov/govbenefits_en.portal), student loans

 (http://studentaid.ed.gov/PORTALSWebApp/students/english/index.jsp), small business assistance (http://www.sba.gov/), or other business opportunities

 (http://www.usa.gov/Business/Business Gateway.shtml) such as government contracting. The website Government Benefits, Grants, and Financial Aid

 (http://www.usa.gov/Citizen/Topics/Benefits.shtml) may also be of help.
- ➤ Grants Action News, New York State Assembly (http://assembly.state.ny.us/gan/20090201/)
 This website provides information, including descriptions, eligibility, and funding opportunities for NYS grants, as well as some info on Federal and private funding.
- New York State Citizens Guide

 (http://www.nysegov.com/citguide.cfm?superCat=102&cat=410&content=main)

 The website provides information on available grants, as well as guidelines for application as well as eligibility.
- ➤ If eligible, search for programs in the Catalog of Federal Domestic Assistance (CFDA) by Keyword and other indexes (https://www.cfda.gov/?s=program&mode=list&tab=list) includes grants, loans, business and nonfinancial help.
- Contact federal office given in CFDA program description: if state or local office is indicated, use CFDA Appendix IV: Federal Regional or Local Office Addresses (https://www.cfda.gov/?s=appendix&mode=list&tab=list).
- > Search federal websites given in each CFDA program description for more information and for state administering agencies responsible for managing these programs.
- ➤ Check current federal grants opportunities at Grants.gov (http://www.grants.gov/), sign up for email notification, and apply online.
- ➤ Search foundations for project funding: use the Foundation Center (http://www.foundationcenter.org/) website or Foundation Center Cooperating Collections (http://www.foundationcenter.org/collections/index.html) in libraries to identify national, state, and community foundations.

➤ Learn how to write grant proposals: follow guidelines in CFDA's Developing and Writing Grant Proposals

(https://www.cfda.gov/?s=generalinfo&mode=list&tab=list&tabmode=list&static=grants), or take the Foundation Center Proposal Writing Short Course (http://foundationcenter.org/getstarted/tutorials/shortcourse/index.html).



Section II: Key Federal Funding Sources

- Federal grants website that allows eligible grant seekers (grant eligibility website: http://www.grants.gov/aboutgrants/eligibility.jsp) to find and apply for current competitive grant opportunities from ALL federal agencies. Grant seekers can check on notices of funding availability (NOFAs) posted in the last 7 days; sign up to receive e-mail notification of grant opportunities; and apply for federal grants through a unified process by downloading the application and submitting online. The website guides grant seekers in obtaining a DUNS (Dun and Bradstreet) number and registering at Grants.gov to apply and to track applications. For full federal program descriptions, see the Catalog of Federal Domestic Assistance (CFDA) below.
- Catalog of Federal Domestic Assistance, General Services
 Administration (https://www.cfda.gov/?s=main&mode=list&tab=list)
 The CFDA, issued annually and updated continuously on the Web, describes some 1600 federal grants and non-financial assistance programs. Grant seekers can identify programs that might support their projects and can learn the program's objectives, requirements, application procedures and contacts. For current notices of funding availability, see Grants.gov.
- Assitance (https://www.cfda.gov/index?s=agency&mode=list)
 Although keyword searching is often a good place to start, also browse by broad subject ("functional area"), by federal department or agency, or by beneficiary to identify more federal funding programs. CFDA program descriptions refer to local and regional federal office addresses, to related programs, and to Office of Management and Budget circulars, all available full-text on the Internet.
- Developing and Writing Grant Proposals, Catalog of Federal Domestic
 Assitance (https://www.cfda.gov/index?s=generalinfo&mode=list&tab=list&tabmode=list)
 Guidance in formulating federal grant applications, including initial proposal development, basic components of a proposal, review recommendations, and referral to federal guidelines and literature.
- Federal Regional or Local Office Addresses, Catalog of Federal Domestic Assistance AppendixIV (https://www.cfda.gov/index?s=appendix&mode=list&tab=list&tabmode=list) much of the federal grants budget moves to the states through formula and block grants -- state, regional, and local federal offices often handle grants applications and funds disbursement. If the CFDA program description refers to a state or regional Information Contact as listed in Appendix IV, grant seekers should contact them *before* applying for funding to obtain the most up-to-date information.

> State 'Single Points of Contact', Office of Management and Budget (http://www.whitehouse.gov/omb/grants_default)

Under Executive Order 12372, some states require federal grants applicants to submit a copy of their application for state government level review and comment. The state offices listed here coordinate federal financial assistance and may direct federal development. For help in identifying state-level grants, other state government agencies websites may be found at State and Local Agencies by Topic

(http://www.usa.gov/Agencies/State and Territories/Agencies by Topic.shtml).

CFDA in Local Libraries, Government Printing Office

Although CFDA is available full-text on the Internet, some may prefer a print edition. However, only the Web version is continuously updated -- the published volume is annual with no supplements. The CFDA is available in all states in Federal Depository Libraries (http://catalog.gpo.gov/fdlpdir/).



Section III: Related Federal Resources

- ➤ A-Z Index of U.S. Department and Agencies, General Services Administration (http://www.usa.gov/Agencies/Federal/All Agencies/index.shtml)

 To better develop a grant proposal, search a department or agency's Home Page to learn more about its programs and objectives. The site USA.gov (http://www.usa.gov/) also links to Government Benefits, Grants and Financial Aid (http://www.usa.gov/Citizen/Topics/Benefits.shtml).
- Homeland Security (http://www.dhs.gov/xgovt/grants/index.shtm)

 Click on map for state allocations and contact information. Most Homeland Security non-disaster grant programs are designated for state and local governments and specific entities such as colleges, etc. Unsolicited applications from individuals are generally not accepted. Includes Urban Area Security Initiative, Citizens Corps, Medical Response System, Operation Stonegarden (border security), and Infrastructure Protection. Programs for firefighters may be found at Assistance to Firefighters (http://www.firegrantsupport.com/).
- ➤ Disaster Assistance, Federal Emergency Management Agency (http://www.fema.gov/hazard/index.shtm)

 Disaster assistance programs activate only after a Presidential disaster declaration is issued and is restricted to those residing within the disaster area. FEMA disaster assistance falls into three general categories: Individual and Households; Public Assistance for emergency services and repair or replacement of damaged public facilities; and Hazard Mitigation funding to reduce future losses to public and private property.
- ➤ USA.gov for Business, General Services Administration
 (<a href="http://www.usa.gov/Business/Busines
- ➤ USA.gov for Nonprofits, General Services Administration
 (http://www.usa.gov/Business/Nonprofit.shtml)
 Links to federal department and agency information and service for nonprofit organizations, including fundraising and outreach, grants, loans and other assistance, laws and regulations, management and operations, online services, registration and licensing, and tax information.
- ➤ Faith-Based and Community Initiatives, Office of Faith-Based and Community Initiatives (http://www.whitehouse.gov/government/fbci/centers.html)

Federal departments and agencies operate a number of programs to serve Americans in need for which faith-based and community organizations may apply. Consult Guidance to Faith-Based and Community Organizations on Partnering with the Federal Government

(http://www.whitehouse.gov/government/fbci/guidance document 01-06.pdf), Federal Funds for Organizations That Help Those in Need , and A Guide to Federal Economic Development Programs for Faith-Based and Community Organizations

(http://www.whitehouse.gov/government/fbci/EconDevCatalogue v2.pdf). Many are formula grants made available to states and local governments, which in turn award grants to grassroots and local organizations. To learn about them, contact local and state agencies responsible for managing these programs.

- > Student Aid on the Web, Department of Education
 - (http://studentaid.ed.gov/PORTALSWebApp/students/english/index.jsp) financial assistance for education beyond high school is generally "needs-based" and often includes loans and work-study, in addition to some grants. College and university applications, websites, and brochures usually include financial aid information for prospective and incoming students.
- ➤ GovBenefits.gov, Department of Labor (http://www.govbenefits.gov/govbenefits en.portal)
 Government grants are not direct assistance to individuals, but fund state and local programs providing help to those in need. This online screening site can be used to identify state and local government benefits and how to apply. Covers direct payments, loans, insurance, training, or other services.
- > FTC Consumer Alert, Federal Trade

Commission (http://www.ftc.gov/bcp/edu/pubs/consumer/alerts/alt134.shtm)
The FTC warns consumers to beware of paying "processing fees" for information that is available free to the public. Ads claiming federal grants are available for home repairs, home business, unpaid bills, or other personal expenses are often a scam.

> OMB Grants Management Web Site, Office of Management and

Budget (http://www.whitehouse.gov/omb/grants/index.html)

OMB establishes government-wide grants management policies and guidelines through circulars and common rules. OMB Circulars

(http://www.whitehouse.gov/omb/circulars/index.html) are cited in *Catalog* program descriptions and may be printed out full-text.

Section IV: Key New York State Funding Sources

➢ Office of Alcoholism and Substance Abuse Services

http://www.oasas.state.ny.us/hps/grants/grants.cfm

Grant seekers are provided with a list of numerous grants that may relate to their cause. The applicant deadlines are posted on the website; however, not all grants will have deadlines. Each grant description contains a detailed outline relating to the amount of funding and the applicant's eligibility.

➤ Office of Temporary and Disability Assistance

http://www.otda.state.ny.us/main/cgo/default.asp

The grants provided OTDA can be obtained by the grant seeker after a bid has been placed. Before a bid can be placed, an application has to be completed, which is provided on the website. Once the application has been submitted, a list of bidding opportunities has been made available to find grants pertaining to specific causes.

New York State Parks, Recreation, and Historic Preservation

http://www.nysparks.state.ny.us/grants/

OPRHP has established state grants that pertain to the environment that encourage preservation, improvement, restoration, and rehabilitation. While the descriptions of the grants are made available through the website, the applications are not always distributed electronically. Applications can be obtained by contacting OPRHP at their offices located in Albany and Waterford.

Division of Housing and Community Renewal http://www.dhcr.state.ny.us/

With an updated database, the Division of Housing and Community Renewal pinpoints specific housing issues that are paired with grants. The required forms and applications are readily available through this website.

New York State Department of Health

http://www.health.state.ny.us/funding/index.htm

The Department of Health offers the opportunity to obtain grants, submit proposals and bids, and inquiries for information. Each section has a detailed timeline from when the opportunity has been posted to when it will expire, in addition to supplying the application on the website.

➤ New York State Department of Environmental Conservation

http://www.dec.ny.gov/pubs/grants.html

The Department of Environmental Conservation provides grants that are meant for environmental improvement and protection. An applicant will be classified in one of three groups, which will determine the size of the grant. The grants specifically focus on areas that include, water protection, environmental cleanup, land and forest protection, environmental justice, and solid waste.

> Environmental Facilities Corporation

http://www.nysefc.org/home/index.asp?page=687

In relation to the American Recovery and Reinvestment Act, the Environmental Facilities Corporation (EFC) distributes grants to assist environmental initiatives. The EFC deals with issues pertaining to water reuse and conservation, energy efficiency, and environmental innovation. Grant seekers will be able to obtain applications through the EFC's website.

➤ Bureau of Justice Funding http://www.criminaljustice.state.ny.us/ofpa/index.htm
The grants provided by the Bureau of Justice are available to benefit the criminal justice field and the state. The grants that are provided to the state are transparently available through a graph. The Bureau of Justice supplies resources to the grantees that will benefit their program.

> New York State Department of Agriculture and Markets

http://www.agmkt.state.ny.us/RFPS.html

The Department of Agriculture reaches out to a wide range of grant seekers through their website. Grants have been made available to restaurants, support materials for farmers, nutrition programs, and individual funding. The grants can range from funding advertising to partnerships.

New York State Archives http://www.archives.nysed.gov/a/grants/index.shtml
To promote the importance of preservation of history, the New York State Archives offer grants to fund archival methods. For an individual to receive funding, one must contact Hackman Research Residency. The Archives recognizes students' efforts, and distribute awards that will aide them in their efforts. The main goal of these grants is to have a record of the twenty first century

➤ New York State Library: The Grant Resource Collection

http://www.nysl.nysed.gov/grantres.htm

The New York State Library offers grants, resources, and assistance with fundraising. The State Library has partnered with the Foundation Center in order to offer proper funding to grant seekers. The library offers an orientation about available grants that one could attend

by making an appointment at the reference desk. (If you would like further information about available grants, information is available at http://www.foundationcenter.org/)

- New York State Education Department http://usny.nysed.gov/grants/ Grants are available for students beginning with kindergarten and reaches out to higher education students. The grants have been implanted to further education through technology, assist with the cost of schooling, and improve their libraries. In addition to the students, teachers have the ability receive grants and awards for their teaching methods and programs.
- New York Energy Smart Program http://www.getenergysmart.org/
 A partnership between the NYSERDA) and the Public Service Commission (PSC). The Energy Smart Program works closely with contractors, retailers, and manufactures to strengthen the impact of energy efficient solutions from the source.
- New York State Department of Transportation https://www.nysdot.gov/funding
 The grants distributed by the Department of Transportation exist to improve the roadways, the environment, and overall expense of commuting. The Department of Transportation also takes pedestrians and cyclists into consideration and offers grant opportunities for their commute.
- ➤ Higher Education Service Corporation http://www.hesc.com/content.nsf/
 HESC understands the rising cost of colleges and has created the Tuition Assistance
 Program (TAP) for students. In addition to TAP, HESC searches for available state grants and scholarships to relieve students of the cost of higher education.
- ➤ Offices of Children and Family Services http://www.ocfs.state.ny.us/main/bcm/
 The Office of Children and Family Services distributes grants to encourage after school activities for children, healthcare assistance, and early childhood development. The grants can be obtained by placing a bid on the supplied with on their website, where they are accompanied by specific deadline dates.

Section V: Related State Funding Sources

➤ New York State Assembly – Grants Action News

http://www.assembly.state.ny.us/gan/

An up-to-date newsletter for grant seekers to become informed about newly established grants. The newsletter outlines eligibility guidelines, deadlines, and contains contact information for further questions.

> NYS DOS Division of Costal Relations

http://www.nyswaterfronts.com/grantopps_stategrants.asp

Links to environmental and community improvement grants provided by the state that are accompanied by a detailed summary of each.

> New York State Emergency Management Office

http://www.semo.state.ny.us/



Section VI: Private and Corporate Funding Sources

- ➤ The Foundation Center (http://www.foundationcenter.org/)
 - Gateway to information about private funding sources, the grant seeking process, guidelines on writing a grant proposal, addresses of state libraries with grants reference collections, and links to other useful Internet websites. The Center maintains a comprehensive database on foundations; produces print and electronic directories and guides; conducts research and publishes studies in the field; and offers a variety of training and educational seminars.
 - Guide to Funding Research (http://foundationcenter.org/getstarted/tutorials/gfr/) An overview of the funding research process for those seeking grants from foundations, corporations, and grant making public charities.
 - Proposal Writing Short Course (http://foundationcenter.org/getstarted/tutorials/shortcourse/index.html, also in Spanish, French, and other languages)
 - Foundation Finder (http://lnp.foundationcenter.org/finder.html) Search for information about more than 70,000 private and community foundations.
 - Requests for Proposals (http://foundationcenter.org/pnd/rfp/) Daily postings of requests for proposals (RFPs) from private funding sources by broad subject category.
 - Foundation Center Cooperating Collections
 (http://foundationcenter.org/collections/index.html, search by state) Free funding information available in libraries, community foundations, and other nonprofit centers nationwide.
- ➤ Community Foundation Locator, Council on Foundations (http://www.cof.org/Locator/index.cfm?crumb=2)
 Community foundations have special interest in funding local and community projects.
- ➤ Grants Resources by State, Grantsmanship Center (http://www.tgci.com/)
 Click on state map to find links to information about a state's foundations, community foundations, corporate giving programs and the state's home page.
- Community Foundation Locator, Council on Foundations
 (http://www.cof.org/Locator/index.cfm?crumb=2)
 Community foundations have special interest in funding local and community projects.

Section VII: Tracking Federal Funds

- ➤ USAspending.gov, Office of Management and Budget (http://www.usaspending.gov/)

 A free, searchable database of federal government spending based upon Census and General Services Administration statistical data. Grants (Assistance tab) and Contracts must be searched separately: by individual organization or grant recipient; by place of performance, including by congressional district; or by federal department or agency.
- Federal Aid to States, Census Bureau (http://www.census.gov/prod/www/abs/fas.html)
 FAS details actual expenditures of federal grant funds to state and local governments. Figures are presented to the state level by program area and agency.
- Consolidated Federal Funds Report, Census Bureau (http://www.census.gov/govs/www/cffr.html) In CFFR, grants generally represent obligations and include payments both to state and local governments and to nongovernmental recipients. Data are provided for state and county levels.



Letters of Support from Senator Gillibrand

While Senator Gillibrand does NOT decide which organizations are awarded grants or other federal funding, there are instances in which it is appropriate for the Senator to write a letter of support for an application. If you wish to request a letter of support for your application, you must supply Senator Gillibrand with the following:

- 1. A description of your organization,
- 2. Summary of the application,
- 3. a description of what the money will be used for, and
- 4. a draft letter of support

Please forward this information by email to grants@gillibrand.senate.gov or by mail to the nearest regional office:

Capitol District

Senator Kirsten E. Gillibrand Leo W. O'Brien Federal Office Building

1 Clinton Square Room 821

Albany, NY 12207

Tel: (518) 431-0120 Fax: (518) 431-0128

Buffalo/Western New York

Senator Kirsten E. Gillibrand

Larkin at Exchange

726 Exchange Street, Suite 511

Buffalo, NY 14210

Tel: (716) 854-9725 Fax: (716) 854-9731

Hudson Valley Office

PO Box 893

Mahopac, NY 10541

Tel. (845) 875-4585

Fax (845) 875-9099

Long Island

Senator Kirsten E. Gillibrand

155 Pinelawn Road

Suite 250 North

Melville, NY 11747

Tel: (631) 249-2825

Fax: (631) 249-2847

New York City

Senator Kirsten E. Gillibrand

780 Third Avenue

Suite 2601

New York, New York 10017

Tel. (212) 688-6262

Fax (212) 688-7444

North Country

Senator Kirsten E. Gillibrand

PO Box 273

Lowville, NY 13367

Tel. (315) 376-6118

Fax (315) 376-6118

Rochester Region

Senator Kirsten E. Gillibrand

Kenneth B. Keating Federal Office Building

100 State Street

Room 4195

Rochester, NY 14614

Tel. (585) 263-6250

Fax (585) 263-6247

Westchester County (For address, please

call)

Senator Kirsten E. Gillibrand

Tel. (914) 725-9294

Fax (914) 472-5073

Syracuse/Central New York

Senator Kirsten E. Gillibrand James M. Hanley Federal Building 100 South Clinton Street Room 1470 PO Box 7378

Syracuse, NY 13261 Tel. (315) 448-0470

Fax (315) 448-0476

Washington D.C.

Senator Kirsten E. Gillibrand United States Senate 478 Russell Senate Office Building Washington, DC 20510 Tel. (202) 224-4451 Fax (202) 228-0282



Grant Guide Order Form

If you would like to order additional grant guides from our office, please indicate what guides you would like to have and complete the below address information. If you have any questions, please contact us at 212.688.6262.

<u>Guide</u>		
Affordable Housing		
Ag/ Rural Development	Home Heating and WeatherizationHomeland Security	
At Risk Youth / Anti Gang		
Broadband	Innovation and Cluster Based Economic Development	
Brownfields/Superfund Site Cleanup	K-12 Education and Libraries	
City Infrastructure	Lead Paint Remediation	
Faith-Based / Community Initiatives	Minority and Women Owned Business	
Fire and Emergency Services	Obesity Prevention / Nutritious Foods	
General Grants	Senior Services	
Green Energy and Clean Technology	Small Business	
Green Schools	Social Services /Community Groups	
Higher Education	Veterans Services	
Historic Preservation/Arts/Culture/Tourism	Water and Wastewater Funding	
Mail to: Senator Kirsten Gillibrand, 780 Third A	Ave, 26th Floor, New York New York 10017	
Name:		
Title:	A	
Organization:		
Address:		
City, State, ZIP:		

Casework Form

If you have encountered a problem involving a federal government agency or federally subsidized benefit that you have not been able to successfully resolve, Senator Kirsten Gillibrand's staff of constituent liaisons may be able to assist you in the following areas:

Employment Issues: including assistance with disability benefits, employer-provided health care plans and COBRA, Family Medical Leave Act (FMLA) benefits, pensions, unemployment benefits, Federal and State Workers Compensation claims, and retirement-related issues.

Consumer Affairs: including assistance obtaining a home loan modification under the Making Home Affordable Program, insurance claims, dissatisfaction with consumer products or services, environmental regulations, and concerns regarding air quality, water or land contamination.

Immigration Issues: including issues with visitor visas, family and employment based visas, lawful permanent resident status, naturalization, international adoptions, detention, passports, customs and border issues, and assistance to American Citizens in crisis abroad.

Veteran Issues: including issues with VA pension and disability benefits, education benefits, veteran burial or funeral issues, and issues regarding the VA medical centers.

IRS Issues: including connecting constituents with the Taxpayer Advocate Service to address federal tax issues including lost or delayed tax refunds, penalty abatements, payment installation plans, tax credits, referrals to IRS Low Income Tax Clinics and paper tax forms.

Military Issues: including issues pertaining to the Department of Defense, Army, Navy, Marine Corps, Air Force, Coast Guard, Reserves and New York State National Guard, as well as obtaining military records, medals and academy nominations.

Health Care Issues: including issues with Medicare and Medicaid, health insurance, insurance providers, nursing homes, hospitals, prescription drugs, and 9/11 health matters.

Social Security: including issues with Supplemental Security Income, Social Security Disability, survivors' benefits, and retirement benefits.

Social Services: including issues with food stamps, HEAP (low-income heating program), FEMA and disaster relief, and issues related to federally subsidized housing.

Please visit the "Services" section of <u>www.gillibrand.senate.gov</u> or call (212) 688-6262 for further information. Please note that if you are seeking assistance with a case that involves a lawsuit or litigation, Senate Rules prohibit the Office of Senator Gillibrand from giving legal advice or intervening in the proceedings.